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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/784,012	02/20/2004	Pramod K. Srivastava	8449-298-999

20583  
JONES DAY  
222 EAST 41ST ST  
NEW YORK, NY 10017

CONFIRMATION NO. 2496

## FORMALITIES LETTER



\*OC000000012675156\*

Date Mailed: 05/17/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

08/19/2004 WABDELRI 00000114 503013 10784012  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail No.: EV 452 773 015 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of:	Srivastava	Confirmation No.:	2496
Serial No.:	10/784,012	Art Unit:	1645
Filed:	February 20, 2004	Examiner:	To Be Assigned
For:	Methods For Using Compositions Comprising Heat Shock Proteins Or Alpha-2-Macroglobulin In The Treatment Of Cancer And Infectious Disease	Attorney Docket No:	8449-298-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application ("Notice"), mailed on May 17, 2004, a copy of which is enclosed, and in connection with the above-identified application, Attorneys for Applicants respectfully submit herewith: (1) a Petition for Extension of Time (in duplicate), for one month from July 17, 2004 up to and including August 17, 2004 accompanied by a provision authorizing payment of the required fee; and (2) a Declaration identifying the above non-provisional application executed by Pramod K. Srivastava on July 19, 2004. It is requested that the Declaration be entered in the file of the above-identified application.

Also enclosed is a Power of Attorney By Assignee. It is requested that the Power of Attorney be entered in the file of the above-identified application.

An Assignment of the invention and the above-captioned patent application to University of Connecticut Health Center, executed by inventor Pramod K. Srivastava on July 19, 2004, is submitted for recordation on even date herewith under separate cover. A copy of the Assignment is attached as Exhibit A to the Power of Attorney.

Applicants also authorize the United States Patent and Trademark Office to charge the required fee for late filing of the Declaration, which is believed to be \$65.00 (small entity), as indicated on the Notice, to Jones Day Deposit Account No. 50-3013. A duplicate copy of this sheet is enclosed for accounting purposes.

Applicants respectfully request that the above remarks be entered into the record of the above-identified application.

Date: August 16, 2004

Respectfully submitted,

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

**Jones Day**  
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